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2633

Technology Center 2600

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Ross Halgren
Application No. : 09/778,992
Filed : February 7, 2001
Title : TRANSPARENT OPTICAL-ELECTRONIC-OPTICAL SWITCH
Grp./Div. : 2633
Examiner : David C. Payne
Docket No. : 41761/RRT/S632

INFORMATION DISCLOSURE STATEMENT
37 CFR § 1.97(b)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

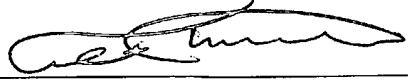
Post Office Box 7068
Pasadena, CA 91109-7068
September 22, 2004

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO/SB/08A/B listing the references that are known to applicant. Copies of each of the listed references are enclosed. This filing is timely because it is made during one of the periods described in 37 CFR § 1.97(b).

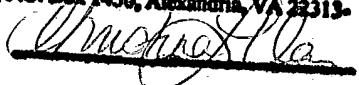
It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of FORM PTO/SB/08A/B be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

Respectfully submitted,
CHRISTIE, PARKER & HALE, LLP

By 
Raymond R. Tabandeh
Reg. No. 43,945
626/795-9900

RRT/clv

Enclosures: PTO/SB/08A/B, w/references
CLV PAS585066.1-* 09/22/04 9:30 AM

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FORM PTO/SB/08A/B (10-01)
Substitute for PTO-1449A/B

INFORMATION DISCLOSURE

STATEMENT BY APPLICANT

(use as many sheets as necessary)

SEP 24 2004

Attorney Docket Number	41761/DBP/S632
Application Number	09/778,992
Filing Date	February 7, 2004
Applicant(s)	Ross Halgren SEP 30 2004
Group Art Unit	2633 Technology Center 2600
Examiner Name	David C. Payne

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U.S. PATENT DOCUMENTS				
EXAMINER INITIALS	Cite No. ¹	DOCUMENT NUMBER Number - Kind Code ² (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
		5,777,761	07-07-1998	FEE
		5,930,016	07-27-1999	BRORSON, et al.
		US 6,288,811 B1	09-11-2001	JIANG, et al.
		US 6,411,412 B1	06-25-2002	JIANG, et al.
		US 6,512,614 B1	01-28-2003	SALEH, et al.
		US 6,522,671 B1	02-18-2003	SOLHEIM, et al.
		US 6,525,852 B1	02-25-2003	EGNELL
		US 6,535,313 B1	03-18-2003	FATEHI, et al.

FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶ (<input checked="" type="checkbox"/>)
		EP 1 091 614 A2	04-11-2001	GRAVES, et al.	
		WO 99/40700	08-12-1999	BERTHOLD, et al.	
		WO 00/55995	09-21-2000	EGNELL	

OTHER DOCUMENTS

EXAMINER INITIALS	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
		GAUDINO, et al. "MOSAIC: A Multiwavelength Optical Subcarrier Multiplexed Controlled Network", IEEE Journal on Selected Areas in Communications, September 1998, pg. 1270-1285, Vol. 16, No. 7.
		ROSSI, et al., "Optical Network Channel Protection Switching Demonstration using a Bi-Directional Reconfigurable Multichannel Add/Drop Multiplexer", 1999 Technical Digest, 2000 Optical Society of America, 07/21/1999, pp. 100-102, Vol. 32.

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EXAMINER SIGNATURE		DATE CONSIDERED	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.pto.gov or MPEP 901.4. ³Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English Language Translation is attached.

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